

**UTILITY
PATENT APPLICATION
TRANSMISSION**

Our Docket No.:
A-71604/RFT/THR

Date:
July 10, 2003

Inventor: Dennis M. Brown

Title: Formulations and Methods of Administration of
Cephalotaxines, Including Homoharringtonine

ADDRESS TO: Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

16447 U.S. PTO
10/617927
07/10/03

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated and is addressed to the Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on July 10, 2003.

Express Mail No.: EV 298966229 US

Date of Deposit: July 10, 2003

Signed: 
Darryl Kriner

APPLICATION ELEMENTS

1. ☒ Fee Calculation Sheet
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status
3. ☒ Specification Total Pages: 17
 - ☒ Descriptive title of the invention
 - ☒ Cross References to Related Applications
 - ☐ Statement Regarding Fed. Sponsored R&D
 - ☒ Field of the Invention
 - ☒ Background of the Invention
 - ☒ Summary of the Invention
 - ☒ Detailed Description of the Figures
 - ☒ Detailed Description of the Invention
 - ☒ Claims (28 total)
 - ☒ Abstract of the Disclosure
4. ☒ Drawings (35 U.S.C. 113) Total Sheets: 1
5. ☐ Oath or Declaration Total Pages: 2
 - a. ☐ Newly Executed (facsimile)
 - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d)) (for continuation/divisional with Box 19 completed)
 1. ☐ DELETION OF INVENTORS
Signed statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d)(2) and 1.33(b))
6. ☒ Application Data Sheet (37 C.F.R. 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies

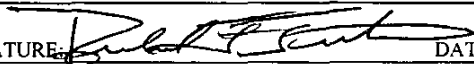
ACCOMPANYING APPLICATION PARTS

9. ☐ Certification Under 35 U.S.C. 122(b)(2)(B)(i)
10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 C.F.R. 3.73(b) Submission
12. ☐ Power of Attorney
13. ☐ English Translation Document (if applicable)
14. ☐ Information Disclosure Statement
(with Copies of Citations as necessary)
15. ☐ Preliminary Amendment Total Pages:
16. ☒ Return Receipt Postcard
(Should be specifically itemized)
17. ☐ Certified Copy of Priority document(s)
(if foreign priority is claimed)
18. ☐ Other

19. **If a CONTINUING APPLICATION**, check appropriate box and supply the requisite information:
☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) claiming benefit of prior Application Nos.:

For continuation or divisional applications: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference

CORRESPONDENCE ADDRESS

ATTY NAME Richard F. Trecartin	Reg. No. 31,801	SIGNATURE: 	DATE: July 10, 2003
ADDRESS	DORSEY & WHITNEY LLP Four Embarcadero Center Suite 3400 San Francisco, California 94111-4187		Customer Number: 32940
TELEPHONE	(415) 781-1989		
FAX	(415) 398-3249		

1114717

07/10/03
1685 U.S. PTO


APPLICATION FEE TRANSMITTAL SHEET (FY 2003)

Complete if Known

Application No.	
Filing Date	July 10, 2003
First Named Inventor	Dennis M. Brown
Group Art Unit	
Examiner Name	
Atty. Docket Number	A-71604/RFT/THR

METHOD OF PAYMENT (Check One)				FEE CALCULATION (Continued)																																																																																																			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No.: <u>50-2319 DEFICIENCIES ONLY</u> Deposit Account Name: <u>DORSEY & WHITNEY LLP</u> <input type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status (see 37 C.F.R. 1.27)				3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee</th> <th>Small Entity Fee</th> <th>Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr><td>50</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>130</td><td>65</td><td>Surcharge - Late nonprovisional filing fee or oath</td><td></td></tr> <tr><td>180</td><td>180</td><td>Submission of IDS</td><td></td></tr> <tr><td>40</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>110</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>410</td><td>205</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>930</td><td>465</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1,450</td><td>725</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1,970</td><td>985</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>750</td><td>375</td><td>Submission After Final 1.129</td><td></td></tr> <tr><td>320</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>320</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>280</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>110</td><td>55</td><td>Terminal Disclaimer Fee</td><td></td></tr> <tr><td>130</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>50</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>1,300</td><td>650</td><td>Utility/Reissue Issue Fee (including advance copies)</td><td></td></tr> <tr><td>470</td><td>235</td><td>Design Issue Fee (inc. advance copies)</td><td></td></tr> <tr><td>750</td><td>375</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>300</td><td>300</td><td>Publication fee for early, voluntary, or normal publication</td><td></td></tr> <tr><td>300</td><td>300</td><td>Publication fee for re-publication</td><td></td></tr> <tr><td>110</td><td>55</td><td>Petition to Revive - unavoidable</td><td></td></tr> <tr><td>1,300</td><td>650</td><td>Petition to Revive - unintentional</td><td></td></tr> </tbody> </table>				Large Entity Fee	Small Entity Fee	Fee Description	Fee paid	50	25	Surcharge - late provisional filing fee or cover sheet		130	65	Surcharge - Late nonprovisional filing fee or oath		180	180	Submission of IDS		40	40	Recording each patent assignment per property (times number of properties)		110	55	Extension for reply within first month		410	205	Extension for reply within second month		930	465	Extension for reply within third month		1,450	725	Extension for reply within fourth month		1,970	985	Extension for reply within fifth month		750	375	Submission After Final 1.129		320	160	Notice of Appeal		320	160	Filing a brief in support of an appeal		280	140	Request for oral hearing		110	55	Terminal Disclaimer Fee		130	130	Petitions to the Commissioner		50	50	Petitions related to provisional applications		1,300	650	Utility/Reissue Issue Fee (including advance copies)		470	235	Design Issue Fee (inc. advance copies)		750	375	Request for Continued Examination (RCE)		300	300	Publication fee for early, voluntary, or normal publication		300	300	Publication fee for re-publication		110	55	Petition to Revive - unavoidable		1,300	650	Petition to Revive - unintentional	
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Submitted by:

Name: RICHARD F. TRECARTIN	Reg. No.: 31,801	Telephone: (415) 781-1989
DORSEY & WHITNEY LLP 4 Embarcadero Center, Suite 3400 San Francisco, CA 94111-4187		Customer Number 32940
Signature:		Date: JULY 10, 2003